

# List Concatenation

## Question 1 - List Concatenation

The function `LConcat(x, y)`, which joins two lists together, can be implemented as follows:

```

 $\vdash \{ \text{list}(x, \alpha) \star \text{list}(y, \beta) \}$ 
list_concat(x, y) {
   $\{P_1\}$ 
  if (x = null) {
    x := y
  } else {
    t := x;
    n := [x + 1];
     $\{P_2\}$ 
     $\left\{ \begin{array}{l} \text{co} \\ \text{fi} \\ \text{ex} \end{array} \right. \left\{ \begin{array}{l} \{P_3\} \\ \exists \alpha_1, \alpha_2, b. \text{lseg}(x, t, \alpha_1) \star t \mapsto b, n \star \text{list}(n, \alpha_2) \star \alpha \doteq \alpha_1 \cdot [b] \cdot \alpha_2 \end{array} \right.$ 
    while (n  $\neq$  null) {
      t := n;
      n := [n + 1];
    }
    [t + 1] := y
  };
   $\{P_4\}$ 
  return x
}
 $\{ \text{list}(\text{ret}, \alpha \cdot \beta) \}$ 

```

- Give the assertions  $P_1$  and  $P_4$ . Explain how they are constructed in general, given the function pre- and post-condition.
- Partially complete the proof sketch, reaching the assertion  $P_2$ . Give  $P_2$ .
- Give the assertion  $P_3$  and explain the step from  $P_2$  to  $P_3$ .
- Explain the while loop invariant in words (and heap diagrams, if you like).
- Explain in words why  $P_3$  entails the loop invariant.
- Complete the proof sketch.
- List (no pun intended) the entailments related to list segments and lists mentioned in the lectures that you had to use in parts (a)-(f).

**Answer:**

The full proof sketch is as follows:

```

list_concat(x, y) {
  {P1 = list(x, α) * list(y, β) * t, n ≐ null}
  if (x = null) {
    {list(x, α) * list(y, β) * t, n ≐ null * x ≐ null}
    {x ≐ null * α ≐ ε * list(y, β) * t, n ≐ null}
    x := y
    {x ≐ y * α ≐ ε * list(y, β) * t, n ≐ null}
    {list(x, α · β) * t, n ≐ null}
    {list(x, α · β)}
  } else {
    {list(x, α) * list(y, β) * t, n ≐ null * x ≠ null}
    {∃a, z, α'. x ↦ a, z * list(z, α') * α ≐ a:α' * list(y, β) * t, n ≐ null}
    t := x;
    {∃a, z, α'. x ↦ a, z * list(z, α') * α ≐ a:α' * list(y, β) * t ≐ x * n ≐ null}
    n := [x + 1];
    {P2 = ∃a, α'. x ↦ a, n * list(n, α') * α ≐ a:α' * list(y, β) * t ≐ x}
    // Isolate the resource of the loop
    {P3 = t ↦ a, n * list(n, α') * α ≐ a:α' * t ≐ x}
    // Establish loop invariant
    {∃α1, α2, b. lseg(x, t, α1) * t ↦ b, n * list(n, α2) * α ≐ α1 · [b] · α2}
    while (n ≠ null) {
      {∃α1, α2, b. lseg(x, t, α1) * t ↦ b, n * list(n, α2) * α ≐ α1 · [b] · α2 * n ≠ null}
      t := n;
      {∃α1, α2, b, t. lseg(x, t, α1) * t ↦ b, t * list(n, α2) * α ≐ α1 · [b] · α2 * t ≐ n * n ≠ null}
      // append node to list segment
      {∃α1, α2, b. lseg(x, t, α1 · [b]) * list(n, α2) * α ≐ (α1 · [b]) · α2 * t ≐ n * n ≠ null}
      // Rename α1 to fit invariant
      {∃α1, α2. lseg(x, t, α1) * list(n, α2) * α ≐ α1 · α2 * t ≐ n * n ≠ null}
      // Unfold list at n
      {∃α1, α2, b, z, α3. lseg(x, n, α1) * n ↦ b, z * list(z, α3) * α2 ≐ [b] · α3 * α ≐ α1 · α2 * t ≐ n}
      {∃α1, b, z, α3. lseg(x, n, α1) * n ↦ b, z * list(z, α3) * α ≐ α1 · [b] · α3 * t ≐ n}
      n := [n + 1];
      {∃α1, b, α3. lseg(x, t, α1) * t ↦ b, n * list(n, α3) * α ≐ α1 · [b] · α3}
      // Re-establish loop invariant
      {∃α1, α2, b. lseg(x, t, α1) * t ↦ b, n * list(n, α2) * α ≐ α1 · [b] · α2}
    };
    {∃α1, α2, b. lseg(x, t, α1) * t ↦ b, n * list(n, α2) * α ≐ α1 · [b] · α2 * n ≐ null}
    // α2 = null
    {∃α1, b. lseg(x, t, α1) * t ↦ b, null * α ≐ α1 · [b]}
    {∃α1, b. lseg(x, t, α1) * t ↦ b, null * α ≐ α1 · [b] * list(y, β)}
    [t + 1] := y
    {∃α1, b. lseg(x, t, α1) * t ↦ b, y * α ≐ α1 · [b] * list(y, β)}
    // append node to list segment
    {lseg(x, y, α) * list(y, β)}
    // append list to list segment
    {list(x, α · β)}
  };
  {P4 = list(ret, α · β)[x/ret] = list(x, α · β)}
  return x
}
{list(ret, α · β)}

```

(a) When entering the function body, we initialise the local variables to null:

$$P_1 = \text{list}(x, \alpha) * \text{list}(y, \beta) * t, n \doteq \text{null}$$

Before exiting the function body, if the function has post-condition  $Q$  and returns  $E$ , we need to reach the assertion  $Q[E/\mathbf{ret}]$ . Therefore, in this case:

$$P_4 = \text{list}(\mathbf{ret}, \alpha \cdot \beta)[\mathbf{x}/\mathbf{ret}] = \text{list}(\mathbf{x}, \alpha \cdot \beta)$$

(b) From the above proof sketch, we have that

$$P_2 = \exists a, \alpha'. \mathbf{x} \mapsto a, \mathbf{n} \star \text{list}(\mathbf{n}, \alpha') \star \alpha \doteq a:\alpha' \star \text{list}(\mathbf{y}, \beta) \star \mathbf{t} \doteq \mathbf{x}$$

(c) We have that

$$P_3 = \mathbf{t} \mapsto a, \mathbf{n} \star \text{list}(\mathbf{n}, \alpha') \star \alpha \doteq a:\alpha' \star \mathbf{t} \doteq \mathbf{x}$$

The existentials  $a$  and  $\alpha'$  are removed using existential elimination, and  $\text{list}(\mathbf{y}, \beta)$  is not used by the loop, and is therefore framed off.

(d) The loop is designed to reach the last node of the list starting at  $\mathbf{x}$ . Before each iteration of the loop, we have: **(1)** the traversed part of the list, captured by a list segment from  $\mathbf{x}$  to  $\mathbf{t}$  holding some values  $\alpha_1$ ; **(2)** the current node at  $\mathbf{t}$ , holding some value  $b$  and pointing to  $\mathbf{n}$ ; and **(3)** the part still to be traversed, captured by a list at  $\mathbf{n}$ , holding some values  $\alpha_2$ . All of the values also have to be appropriately connected, via **(4)**  $\alpha = \alpha_1 \cdot [b] \cdot \alpha_2$ .

(e) For **(1)**, as  $\mathbf{x} = \mathbf{t}$ , we trivially have an empty list segment starting in  $\mathbf{x}$  and ending in  $\mathbf{t}$ , holding an empty list, which is the initial value of  $\alpha_1$ . For **(2)**, we have  $\mathbf{t} \mapsto a, \mathbf{n}$ , meaning that the initial value of  $b$  is  $a$ . For **(3)**, we have  $\text{list}(\mathbf{n}, \alpha')$ , meaning that the initial value of  $\alpha_2$  is  $\alpha'$ . Finally, for **(4)**, given (1-3),  $\alpha = a:\alpha'$  does entail  $\alpha = \alpha_1 \cdot [b] \cdot \alpha_2$ .

(f) The proof sketch is given above in full.

(g) Lemmas from lecture 1.